



# Unveiling Price Anomalies in **Investment Management**





# Executive Summary

Price anomalies in the investment management lifecycle disrupt workflows, hinder performance evaluations, and compromise financial accuracy. Addressing these challenges requires a structured, technology-driven approach that ensures operational efficiency and enhances financial integrity.

Key challenges include inconsistent price utilization, excessive price points, and pricing deviations across acquisition, holding, and exit stages. These issues complicate data reconciliation, obscure performance metrics, and create operational bottlenecks. Poor segregation of fees and commissions further erodes transparency and trust, impacting decision-making and compliance.

Straive offers a comprehensive solution to manage price anomalies effectively. By establishing a unified pricing taxonomy, firms achieve consistency and clarity in data usage. AI and machine learning technologies automate anomaly detection, optimize price application, and enhance data transparency. Interactive dashboards provide actionable insights, enabling firms to address discrepancies proactively. Cloud-enabled scalability ensures real-time processing for evolving business needs.

This approach delivers tangible benefits, including streamlined operations, reduced manual errors, and advanced analytics for granular portfolio performance and compliance insights. Straive's innovative solutions empower investment firms to resolve price discrepancies efficiently, improving decision-making, enhancing regulatory confidence, and achieving operational excellence across the investment lifecycle.



# Introduction

Price discrepancies within the investment management industry—spanning portfolio construction to performance evaluation—pose significant challenges to operational efficiency and financial accuracy. Such inconsistencies disrupt workflows, impair performance measurement, and erode financial accuracy. To address these issues, firms must adopt a comprehensive approach that integrates technology, data analytics, and robust operational processes.

## The Price Anomaly Trap

### Lack of Transparency in Price Utilization:

The inconsistent use of various price points, such as Net Asset Value (NAV), Start-of-Day, and End-of-Day prices, obstructs performance evaluation and complicates accounting, making it harder to pinpoint accurate benchmarks.

### Excessive Price Points in Processes

Too many price points within business workflows complicate data reconciliation, leading to operational inefficiencies and escalating the risk of discrepancies.

### Price Deviations Across Key Stages

Disparities in pricing at different stages of investment management—such as acquisition, holding, and exit—can distort valuations and hinder decision-making.

### Segregation of Fees, Charges, and Commissions

Poor separation of service fees and commissions from core pricing data obscures actual performance metrics, affecting transparency and trust.

### Operational Bottlenecks

These issues collectively disrupt the smooth functioning of accounting and reporting systems, delaying resolutions and eroding stakeholder confidence in the accuracy of financial outputs.

AI-powered solutions can address these challenges by automating reconciliations, ensuring consistency in price application, and improving data transparency across workflows.

# Our Tailored Solutions for Price Anomaly Management

Resolving price discrepancies demands a structured, efficient strategy to ensure transparency and accuracy. The following recommendations can resolve these challenges effectively:



## Establishing a Unified Taxonomy

Implementing a standardized taxonomy for prices, fees, and charges across all functions helps ensure consistency in data interpretation and application. This common framework reduces ambiguity, fosters interdepartmental collaboration, and enables accurate evaluations.



## Streamlining Price Points

Rationalizing the number of price points used throughout business processes simplifies operations and minimizes opportunities for discrepancies. Firms can reduce errors and enhance decision-making accuracy by focusing on critical price points.



## Visualization of Variations

Using advanced visualization tools to track price variations at critical junctures gives stakeholders precise insights. This allows for proactive adjustments to pricing strategies and improved alignment with performance goals.

Together, these measures help optimize operational workflows, improve transparency in financial processes, and enhance the reliability of performance evaluations within the investment lifecycle. By integrating these practices, firms can address price-related challenges effectively and sustain long-term operational excellence.



# The Game-Changing Features of Our Solution

To address price anomalies in the investment management lifecycle, Straive offers an integrated and scalable approach designed for maximum efficiency and precision. Key Features:

## 1. Data Governance and Integration

- Establishes a unified 'Single Source of Truth' for pricing data to remove discrepancies.
- Implements robust interfaces and quality checks for seamless data integration.



## 2. Advanced Analytics and AI/ML

- Provides analytics to evaluate anomalies' impacts and suggests corrective measures.
- AI-driven recommendations for optimal price usage and intelligent anomaly resolution.



## 3. Interactive Dashboards

- Offers self-service visualizations to pinpoint pricing inconsistencies.
- Includes automated alerts for proactive corrective actions.



## 4. Cloud-Enabled Scalability

- Built on a future-ready architecture for evolving data needs.
- Supports real-time and on-demand analytical processing for enhanced responsiveness.



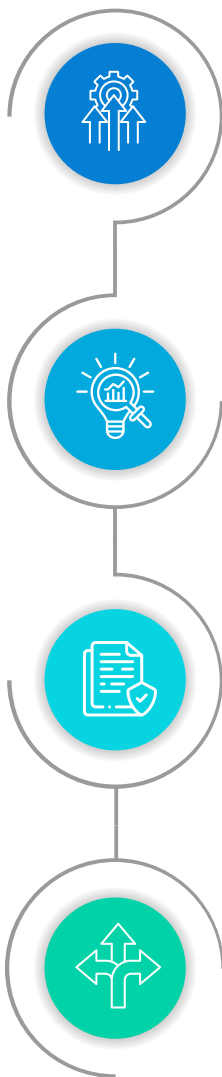
Our solution ensures operational consistency, minimizes financial inaccuracies, and equips decision-makers with the tools to maintain a competitive edge.



# How Our Customers Benefit



Straive's solution transforms how firms manage price anomalies, delivering tangible benefits that drive efficiency, insights, and compliance for the investment management lifecycle.



## 1. Operational Efficiency

By rationalizing pricing and reducing reliance on manual reconciliation, the solution lowers market data costs and streamlines operations. Intelligent resolution mechanisms minimize delays and free resources for higher-value tasks.

## 2. Enhanced Insights

Advanced analytics enable a deeper understanding of pricing's impact on portfolio performance, risk management, and compliance. Firms gain the clarity needed to optimize strategies and align investments with business goals.

## 3. Regulatory Confidence

Robust reporting capabilities ensure adherence to evolving regulatory standards, minimize compliance risks, and enhance trust with stakeholders and regulators.

## 4. Improved Decision-Making

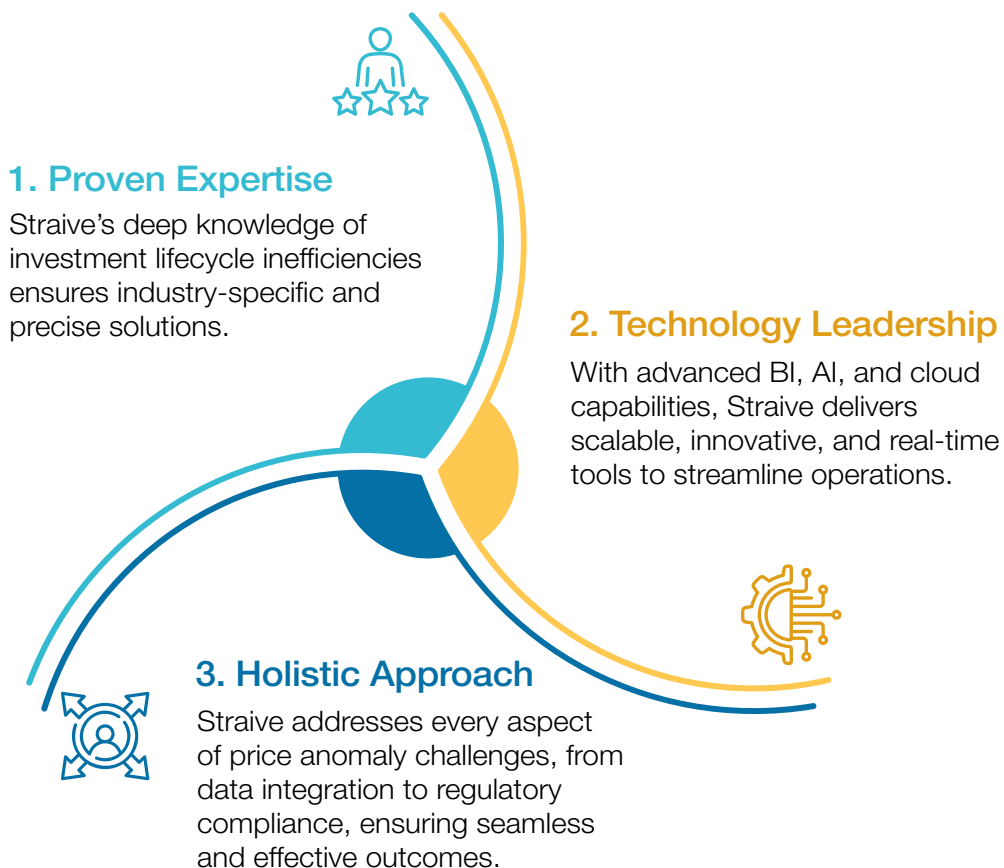
AI-driven foresight equips decision-makers with actionable intelligence, enabling strategic and tactical moves. This ensures businesses stay competitive and agile in a dynamic financial landscape.

Our solution empowers firms to achieve operational excellence, mitigate risks, and drive sustained growth by addressing critical challenges with precision and scalability.



# Why Choose Straive for Price Anomaly Management?

Straive combines expertise, technology, and a comprehensive strategy to deliver unmatched value and operational excellence.



Straive is the partner of choice for investment banking firms seeking innovative solutions to tackle price anomalies and drive operational excellence.



Build a resilient data-driven framework to stay ahead in a competitive market:

## Get Started.

Contact us to begin your transformation today »

### About Straive

Straive helps operationalize the data → insights → knowledge → AI journey with its deep domain expertise, process knowledge, and tech and analytics capabilities. Serving a diverse range of industries—including science and research publishing, information services, EdTech, life sciences, and banking and financial services—Straive boasts a global client base spanning over 30 countries. Our strategically positioned resource pool operates across seven countries, including the Philippines, India, the United States, Nicaragua, Vietnam, the United Kingdom, and Singapore, where the company is headquartered.



[www.straive.com](http://www.straive.com)



[straiveteam@straive.com](mailto:straiveteam@straive.com)

